

Power Round

DMM 2021

The theme is *Error Correction Codes*. There are a total of 50 points for this round.

1 Check digit

Consider the typical credit card number, which has 16 digits. Let d_i denote the i th digit. The first 15 digits is the account number, and the last digit is the *check digit*, which is given by the remainder of the sum of the first 15 digits, i.e.

$$d_{16} = d_1 + d_2 + \dots + d_{15} \pmod{10}.$$

For example, a valid credit card number could be 1234 1234 1234 1236. If we have made a mistake in a digit and instead typed 7234 1234 1234 1236, the computer can easily check that the sum of the first 15-digits is $2 \not\equiv 6 \pmod{10}$ and detect an error.

Problem 1: (7 points total)

- (a) (2 points) Describe all the valid card numbers of the form 2021 1106 _ _42 1337.
- (b) (2 points) Suppose we are given an invalid credit card number where we know that exactly one of the sixteen digits is wrong. Are we able to recover the correct credit card number? Prove your answer.
- (c) (3 points) We can also consider a general formula for the check digit. Let a_i be an integer from 1 to 10. We can choose the following formula for the check digit:

$$d_{16} \equiv a_1d_1 + a_2d_2 + \dots + a_{15}d_{15} \pmod{10}.$$

Find the number of tuples $(a_1, a_2, \dots, a_{15})$ that we can choose such that an error is always detected if one of the digits is wrong.

2 Error Correction Codes

Consider a set-up that involve binary strings or bitstrings, which are finite sequences of the digits 0 and 1. Bob and Dylan wants to send messages to each other, but they can only do so via bitstrings.

Suppose Bob wants to send the letter A or B to Dylan, via the encoding

$$A = 0, B = 1.$$

During transmission, each bit has a probability $p = 0.1$ of changing due to random noise, and this is independent for each bit. If Bob wants to send A to Dylan using the string 0, there is a 10% chance that Dylan receives the string 1 and misinterprets the message as B .

Instead, Bob and Dylan can agree on an encoding scheme H that allows them to send a letter A or B via the following bitstrings:

$$A = 000, B = 111.$$

Bob sends the bitstring 000 or 111 to Dylan. When Dylan receives the bitstring, he checks whether it is more likely to be A or B .

For example if Dylan receives the bitstring 010, he can tell that Bob has most likely sent the letter A . This is because it will take two errors to modify 111 into 010, which is less likely to happen. Hence we have designed a code that is safe if there is at most one bit of error during transmission.

Problem 2: (2 points) Using H , what is the probability that the correct message is received by Dylan? Give the numeric answer.

For any encoding scheme, the set of bitstrings that can be sent are called *codewords*. For H , the codewords are $\{000, 111\}$. Define the *distance* between two strings X and Y to be $d(X, Y) =$ total number of positions where the bit differs. Eg. $d(00, 01) = 1$.

For any encoding scheme, if we receive S , we choose the codeword that has the minimum distance from S . If there are two codewords with the same minimum distance from S , then there is no valid decoding.

Consider the encoding scheme H' given by

$$A = 00011, B = 11111, C = 00000.$$

Problem 3: (6 points total)

- (a) (1 point) Under H' , suppose that the bitstring X is decoded as C . What are all the possible values of $d(00000, X)$? Prove your answer.
- (b) (2 points) Find all the bitstrings X where we cannot decide on a valid decoding of X .
- (c) (3 points) What is the probability that Bob sends A , but Dylan decodes the bitstring as B ?

3 A Larger Code

Consider a general encoding scheme. The bitstrings all have length N , and there are a total of R codewords. The minimum distance between any two codewords is denoted by D .

(For H , we have $N = 3, R = 2, D = 3$.)

Problem 4: (7 points total)

- (a) (2 points) Prove the following triangle inequality: For any three codewords X, Y, Z we have

$$d(X, Y) \leq d(X, Z) + d(Y, Z)$$

- (b) (2 points) Define $M = \lfloor \frac{D-1}{2} \rfloor$. Conclude from earlier that if X is a codeword and $d(X, S) \leq M$, then S must be decoded as X .

(c) (3 points) Prove that for any encoding scheme, we have

$$R \leq \frac{2^N}{\sum_{t=0}^M \binom{N}{t}}.$$

We will now consider an encoding scheme J that allows us to send any 4-letter word made up of A and B . Convert the word w to a bitstring $\overline{x_1x_2x_3x_4}$ by changing $A \rightarrow 0, B \rightarrow 1$. To this bitstring, we add 3 more digits x_5, x_6, x_7 defined by

$$x_5 = x_1 + x_2 + x_4 \pmod{2}, x_6 = x_1 + x_3 + x_4 \pmod{2}, x_7 = x_2 + x_3 + x_4 \pmod{2}.$$

Thus J is a scheme where $N = 7$ and $R = 16$.

Problem 5: (7 points total)

- (a) (3 points) Prove that the distance between any two codewords in J cannot be 1 or 2.
 (b) (4 points) Show that $D = 3$, and prove that every bitstring of length 7 has a decoding.

If there is at most one erroneous bit in X , we can find the correct decoding of the bitstring X . This can be done in a tedious way by comparing X with each codeword. However, we would like to consider a more efficient method to decode X . Consider the three numbers $y_1, y_2, y_3 \in \{0, 1\}$ given by

$$\begin{aligned} y_1 &\equiv x_1 + x_2 + x_4 + x_5 \pmod{2}, \\ y_2 &\equiv x_1 + x_3 + x_4 + x_6 \pmod{2}, \\ y_3 &\equiv x_2 + x_3 + x_4 + x_7 \pmod{2}. \end{aligned}$$

Problem 6: (4 points total)

- (a) (1 point) Compute (y_1, y_2, y_3) for the codeword representing $BAAA$ if there is no bit error, and also if we change the 3rd bit.
 (b) (3 points) Suppose that there is at most one erroneous bit in some random bitstring X . Show that we can deduce which bit has been changed (or none at all) given only the values of y_1, y_2, y_3 .

4 Generic Codes

In real life, we may need to encode very long messages, and hence we have to construct larger encoding schemes. For this section you will explore the limits of what kind of encodings we can construct.

Recall the inequality from Problem 4(c). We want to find positive integers R, M, N such that the equality can be achieved. In other words, we want

$$R = \frac{2^N}{\sum_{t=0}^M \binom{N}{t}}. \tag{1}$$

Problem 7: (3 points) Suppose that $D = 3$ throughout this problem. Find all combinations of (N, R) such that the equation in (1) is satisfied.

Problem 8: (8 points total) Suppose that $D = 7$ throughout this problem.

(a) (3 points) Show that

$$(N^2 - N + 6)(N + 1) = 3 \cdot 2^k$$

for some positive integer $k \geq 2$. Conclude that $N + 1 = 2^l$ or $3 \cdot 2^l$ for some non-negative integer l .

(b) (3 points) Show that if $l \geq 4$, then the equation in (1) has no solutions.

(c) (2 points) Find all the pairs (N, R) that satisfy the equation in (1).

The last problem concerns finding encodings that attain equality in (1).

Problem 9: (6 points) Construct an encoding for each combination (N, R) in Problem 7.